

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN JOSE DIVISION

10 THE ESTATE OF BUCKMINSTER FULLER,) Case No.: 5:12-CV-02570-LHK
11 Plaintiff,)
12 v.) ORDER DENYING MOTION TO
13 MAXFIELD & OBERTON HOLDINGS, LLC,) DISMISS
14 Defendant.)
15

17 Plaintiff the Estate of Buckminster Fuller (“Plaintiff”) filed this lawsuit on May 18, 2012,
18 alleging four causes of action. ECF No. 1 (“Compl.”). Defendant Maxfield & Oberton Holdings,
19 LLC (“Defendant”) moves to dismiss all causes of action pursuant to Federal Rule of Civil
20 Procedure 12(b)(6). ECF No. 12 (“Mot.”). Plaintiff has filed an opposition to the motion, ECF
21 No. 19 (“Opp’n”), and Defendant has filed a reply, ECF No. 21 (“Reply”). The Court held a
22 hearing on the motion on November 1, 2012. Having considered the parties’ submissions and oral
23 arguments, the Court DENIES Defendant’s motion.

24 **I. Background**

25 Unless otherwise noted, the following allegations are taken from the complaint and
26 judicially noticeable documents and are presumed to be true for purposes of ruling on Defendant’s
27 motion to dismiss. *See Marder v. Lopez*, 450 F.3d 445, 447 n.1 (9th Cir. 2006).

1 Richard Buckminster Fuller was a renowned 20th century inventor who “held 28 patents,
2 authored 28 books, [and] received 47 honorary degrees” in the course of his life before passing
3 away in 1983. Compl. at ¶ 1. Mr. Fuller was best known for developing the geodesic dome, an
4 architectural design. *Id.* In 1985, scientists discovered the Carbon-60 molecule and, because its
5 shape resembled that of a geodesic dome, named the molecule Buckminsterfullerene. *Id.* at ¶ 9.
6 The buckminsterfullerene molecule is commonly referred to as a buckyball. *Id.* In 1985, Plaintiff
7 registered its claim as the successor in interest to the rights of Buckminster Fuller pursuant to Cal.
8 Civ. Code § 3344.1(f), and also specified several variations of Mr. Fuller’s name, including
9 “Bucky Fuller.” *Id.* at ¶ 10.

10 Defendant manufactures and sells popular desk toys called Buckyballs. *Id.* at ¶ 1.
11 According to a press release by Defendant, Buckyballs were “inspired and named after famous
12 architectural engineer and inventor, R. Buckminster Fuller[.] Buckyballs and Buckycubes are the
13 world’s most popular adult desktop toy and have been compared to famous desktops of yore such as
14 Newton’s Cradle, the Zen Garden, and Pin Art.” *Id.* at ¶ 13 (internal quotations omitted).
15 Buckyballs are round rare earth magnets that can be combined to form various shapes, including
16 one that resembles the Carbon-60 buckyball molecule. *Id.* at ¶ 15. Defendant also manufactures
17 and distributes several other items under the Buckyballs or related trademarks including
18 Buckycubes, Bucky sidekick, Buckybars, BuckyBricks, BuckyBlocks, BuckyBalls (a vitamin
19 supplement), and The Big Book of Bucky (a book that provides instructions on how to make
20 various shapes with Buckyballs). *Id.* at ¶¶ 14-18, 20. The Big Book of Bucky contains a portion
21 that states “Buckyballs were named for Buckminster Fuller,” then describes a few of Mr. Fuller’s
22 accomplishments, and concludes by stating “[h]e was smart. He was crazy. He was fun. Remind
23 you of anything?” *Id.* at ¶ 18 (internal quotations omitted). Defendant’s website also at one point
24 contained a Frequently Asked Questions page that stated “[t]he name Buckyballs is a nod to
25 Buckminster ‘Bucky’ Fuller, a famous architectural-type best known for the geodesic dome (a
26 sphere made of triangles). It’s a fun shape to make with Buckyballs. FUN FACT: The Carbon-60,
27 one of the strongest atomic structures known to man, are sometimes called Fullerenes. They too

were named after Fuller for their similarity to geodesic domes.” *Id.* at ¶ 19 (internal quotations omitted).

In 2011, Plaintiff licensed to Defendant limited rights to use Mr. Fuller’s name and likeness in connection with a limited commemorative edition of Buckyballs. *Id.* at ¶ 12. On May 18, 2012, Plaintiff brought the present action alleging that Defendant misappropriated Mr. Fuller’s name and likeness through the manufacture, distribution, and sale of Buckyballs and related products. *Id.* at ¶¶ 22-23. Plaintiff also claims that Defendant’s actions are “likely to cause confusion among the general public about Plaintiff’s endorsement of Defendant’s products.” *Id.* at ¶ 23. Plaintiff asserts four causes of action: (1) violation of the Lanham Act, 15 U.S.C. § 1125(a); (2) invasion of privacy under California common law; (3) invasion of privacy under California Civil Code § 3344.1; and (4) violation of the California Unfair Competition Law, Cal. Bus. & Prof. Code § 17200 *et seq.* Defendant moves to dismiss each claim.

II. Legal Standard

A motion to dismiss pursuant to Rule 12(b)(6) for failure to state a claim upon which relief can be granted “tests the legal sufficiency of a claim.” *Navarro v. Block*, 250 F.3d 729, 732 (9th Cir. 2001). Dismissal under Rule 12(b)(6) may be based on either (1) the “lack of a cognizable legal theory,” or (2) “the absence of sufficient facts alleged under a cognizable legal theory.” *Balistreri v. Pacifica Police Dep’t*, 901 F.2d 696, 699 (9th Cir. 1988). While ““detailed factual allegations”” are not required, a complaint must include sufficient facts to ““state a claim to relief that is plausible on its face.”” *Ashcroft v. Iqbal*, 556 U.S. 662, 678 (2009) (quoting *Bell Atl. Corp. v. Twombly*, 550 U.S. 544, 570 (2007)). “A claim has facial plausibility when the plaintiff pleads factual content that allows the court to draw the reasonable inference that the defendant is liable for the misconduct alleged.” *Id.*

For purposes of ruling on a Rule 12(b)(6) motion to dismiss, the Court accepts all allegations of material fact as true and construes the pleadings in the light most favorable to the plaintiff. *Manzarek v. St. Paul Fire & Marine Ins. Co.*, 519 F.3d 1025, 1031 (9th Cir. 2008). The Court need not, however, accept as true pleadings that are no more than legal conclusions or the ““formulaic recitation of the elements’ of a cause of action.”” *Iqbal*, 556 U.S. at 678 (quoting

1 *Twombly*, 550 U.S. at 555). Mere “conclusory allegations of law and unwarranted inferences are
2 insufficient to defeat a motion to dismiss for failure to state a claim.” *Epstein v. Wash. Energy Co.*,
3 83 F.3d 1136, 1140 (9th Cir. 1996); *accord Iqbal*, 566 U.S. at 677-78.

4 **III. Requests for Judicial Notice**

5 Defendant has submitted two requests for judicial notice. ECF Nos. 13 (“RJN”), 22
6 (“Supp. RJN”). Under Federal Rule of Evidence 201(b), the Court can take judicial notice of any
7 fact that is “not subject to reasonable dispute in that it is. . . capable of accurate and ready
8 determination by resort to sources whose accuracy cannot reasonably be questioned.” Under the
9 doctrine of incorporation by reference, the Court may consider on a Rule 12(b)(6) motion not only
10 documents attached to the complaint, but also documents whose contents are alleged in the
11 complaint, provided the complaint “necessarily relies” on the documents or contents thereof, the
12 document’s authenticity is uncontested, and the document’s relevance is uncontested. *Coto*
13 *Settlement v. Eisenberg*, 593 F.3d 1031, 1038 (9th Cir. 2010).

14 Here, the complaint relies on several documents not attached thereto: (1) Defendant’s “Fun
15 Facts” webpage; (2) the October 24, 2011 licensing agreement between Plaintiff and Defendant;
16 and (3) the packaging from the commemorative edition of Defendant’s Buckyballs product.
17 Neither the authenticity nor the relevance of these documents is disputed. Accordingly, the Court
18 properly considers them. Defendant’s RJN is GRANTED as to these three documents.

19 The Court may also take judicial notice of information posted on government websites. *See*
20 *Daniels-Hall v. Nat'l Educ. Ass'n*, 629 F.3d 992, 998-99 (9th Cir. 2010) (“It is appropriate to take
21 judicial notice of this information, as it was made publicly available by government entities. . . and
22 neither party disputes the authenticity of the web sites or the accuracy of the information displayed
23 therein.”). Plaintiff has not objected to the use of these government websites. Accordingly, the
24 Court GRANTS Defendant’s request as to Exhibits A, B, D, and E to Defendant’s RJN.

25 Defendant’s remaining requests seek judicial notice of publications and websites that are
26 neither incorporated into the complaint nor published by governmental entities. The first is a
27 scientific article republished on the website of the Buckminster Fuller Institute discussing the
28 naming of the Carbon-60 molecule after Mr. Fuller (RJN Exh. C). Plaintiff has not objected to this

1 article, and the fact that the molecule was named for Mr. Fuller is not reasonably subject to dispute.
2 Further, Plaintiff notes in the complaint that the Buckminster Fuller Institute is “supported by”
3 Plaintiff. Compl. at ¶ 12. Accordingly the Court takes judicial notice of Exhibit C to Defendant’s
4 RJN.

5 Defendant has also sought judicial notice of a webpage entitled “Buckytubes, What is
6 Buckytubes?” Supp. RJN Exh. A. Defendant has provided a web address, but no information
7 about who maintains this website or how information on the website is obtained. As judicial notice
8 is appropriate only for facts whose accuracy cannot reasonably disputed, it is inappropriate for the
9 Court to take judicial notice of facts on a webpage whose source and reliability are unknown.
10 Accordingly, Defendant’s request as to Supp. RJN Exh. A is DENIED.

11 Exhibit B to Defendant’s Supp. RJN is an article from *Applied Physics Letters* entitled
12 “Anomalous thermal relaxation in carbon nanoclusters.” The article makes no reference to the
13 name “Bucky,” to Mr. Fuller, or to any of Defendant’s products, and Defendant has not explained
14 how it is relevant to this case. Accordingly, Defendant’s request is DENIED as to Supp. RJN Exh.
15 B.

16 Defendant further requests that the Court take judicial notice of an article from the website
17 Huff Post Science entitled “Do Buckyballs Extend Lifespan?” Supp. RJN Exh. C. Again,
18 Defendant has not explained the relevance of this article. Though the article does refer to Carbon-
19 60 molecules as buckyballs, the Court has already taken judicial notice of sources establishing this
20 fact. It is unclear what this article contains that is relevant, as this lawsuit has nothing to do with
21 the health-related properties of Carbon-60 molecules, and none of the briefing the parties have
22 submitted references this article or its contents. Accordingly, Defendant’s request as to Supp. RJN
23 Exh. C is DENIED.

24 Finally, Defendant has submitted a page from its own website entitled “Books.” Supp. RJN
25 Exh. D. It is unclear exactly of what Defendant is seeking judicial notice. The fact that Defendant
26 sells books on its website? The picture of the book cover that appears on Defendant’s webpage?
27 The appearance of the website on the date it was accessed (August 3, 2012)? Because the Court
28 takes judicial notice only of adjudicative facts, and Defendant has not indicated, nor can the Court

1 discern, what adjudicative fact is supposedly being established here, the Court DENIES
 2 Defendant's request as to Supp. RJN Exh. D.

3 **IV. Discussion**

4 As an initial matter, Defendant suggests that the entire complaint must be dismissed
 5 because Defendant's product is named after a carbon molecule, not after the man Buckminster
 6 Fuller. This is a question of fact that the Court cannot resolve on a motion to dismiss. Plaintiff has
 7 alleged that the name of the toy references the name of the man. *See* Compl. at ¶¶ 34, 37, 47, 54.
 8 At this stage of the proceedings, the Court takes the allegations in the Complaint as true. Thus, this
 9 general argument that Defendant is referencing only the molecule does not warrant dismissal.

10 Defendant presents several distinct arguments for dismissal, some of which apply to all of
 11 the claims, and some, only to certain claims. Defendant argues that: (1) all four claims are barred
 12 by the First Amendment under the doctrine of transformative use; (2) the statutory and common
 13 law publicity claims are barred by the statute of limitations, the public interest and public affairs
 14 defenses, and the fact that while Plaintiff owns the name "Buckminster Fuller" and some related
 15 names he does not own the name "Buckyballs"; (3) the Lanham Act claim is barred under the
 16 nominative fair use doctrine; and (4) the § 17200 claim must fail because all the other claims fail,
 17 leaving no "predicate violation." The Court will address each in turn.

18 **A. Transformative Use**

19 As a defense to all four of its claims,¹ Defendant argues that its use of the name "Bucky" is
 20 transformative, and thus protected by the First Amendment. Mot. at 6-11. "Under California law,
 21 'when an artist is faced with a right of publicity challenge to his or her work, he or she may raise as
 22 [an] affirmative defense that the work is protected by the First Amendment inasmuch as it contains
 23 significant transformative elements or that the value of the work does not derive primarily from the

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25 ¹ Defendant has not cited any cases applying this defense to a federal Lanham Act claim like this
 26 one. In the only federal case Defendant cites, *Hoffman v. Capital Cities*, 255 F.3d 1180 (9th Cir.
 27 2001), the image at issue was deemed protected by the First Amendment because the speech was
 28 not commercial; it did not engage in the type of transformative use analysis Defendant proposes
 here. However, because, as discussed below, the Court finds the defense inapplicable to this
 situation for other reasons, it need not decide whether there is such a defense to a Lanham Act
 claim.

1 celebrity's fame.'" *Hilton v. Hallmark Cards*, 599 F.3d 894, 909 (9th Cir. 2010) (quoting *Comedy*
2 *III Productions, Inc. v. Gary Saderup, Inc.*, 25 Cal. 4th 387, 407 (2001)).

3 Typically, in publicity cases, this defense arises where the defendant has created some kind
4 of artistic or creative work that he sells, and a plaintiff who is depicted in that work sues to protect
5 his or her right of publicity. The question is then whether the defendant's work "contributes
6 significantly distinctive and expressive content" so as to be protectable as original expression,
7 *Kirby v. Sega of America, Inc.*, 144 Cal. App. 4th 47, 61 (2006), or whether Defendant is instead
8 profiting primarily from the plaintiff's image or identity rather than from his own artistic
9 contributions. In these cases, the product being sold is the defendant's expressive work itself
10 (which incorporates the plaintiff's image) – not some other product, for which the plaintiff's name
11 or image is used only in the marketing. *See, e.g., Hilton*, 599 F.3d 894 (greeting card depicting
12 celebrity Paris Hilton); *Comedy III*, 25 Cal. 4th 387 (T-shirt depicting the three stooges).

13 The situation here is markedly different. Defendant's product itself – a set of round
14 magnets – does not depict, reference, or involve Mr. Fuller. Plaintiff's allegation is not that the
15 product itself misappropriates Mr. Fuller's name or likeness, as in *Comedy III* or *Hilton*, but rather
16 that his name and identity are being used to sell an unrelated product. *See* Compl. at ¶ 23. It is
17 thus unclear for what "work" Defendant is claiming First Amendment protection. At the
18 November 1, 2012 hearing, Defendant was unable to articulate exactly what the work deserving of
19 First Amendment protection is. Defendant did, however, confirm that its contention is that by
20 using the name "Bucky" as a name for desk toys, Defendant has transformed it, and should thus be
21 entitled to First Amendment protection.

22 This argument cannot succeed for two reasons. First, the cases on which Defendant relies
23 for the existence of a transformative use defense address the use of a Plaintiff's likeness – not just
24 his name. *See Hoffman*, 255 F.3d at 1180 (image of actor used in magazine spread); *Comedy III*,
25 23 Cal. 4th at 405 (image of the three stooges used on t-shirt); *Kirby*, 144 Cal. App. 4th at 47
26 (image, dance moves, and catch phrase of singer used in video game); *Winter v. DC Comics*, 30
27 Cal. 4th 881 (2003) (image of musicians used in comic book); *Hilton*, 599 F.3d 894 (image of
28 celebrity used on greeting card). This distinction is a meaningful one, as the reasoning of the cases

1 that articulate the transformative use defense depends on the visual nature of the transformation.
2 For instance, in *Comedy III*, the California Supreme Court explained the test this way: “[W]hether
3 the celebrity *likeness* is one of the ‘raw materials’ from which an original work is synthesized, or
4 whether the *depiction* or imitation of the celebrity is the very sum and substance of the work in
5 question. We ask, in other words, whether a product containing a celebrity’s *likeness* is so
6 transformed that it has become primarily the defendant’s own expression rather than the celebrity’s
7 *likeness.*” *Comedy III*, 25 Cal. 4th at 406 (emphasis added). The simple use of a name is not an act
8 of expression in the way that the creation or alteration of an image is, and a name cannot be
9 transformed while remaining recognizable in the way that an image can. Defendant has not
10 explained, and the Court cannot see, how this test could be applied to an individual’s name when
11 his image is not also involved.

12 The second problem with Defendant’s transformative use argument is that Mr. Fuller’s
13 name and identity are not part of the actual product Defendant is selling, as were the uses in
14 *Comedy III*, *Winter*, *Hilton*, and *Kirby*. Here, the toys themselves do not depict or reference Mr.
15 Fuller; rather, the name Defendant has given them, along with some items in Defendant’s
16 materials, reference Mr. Fuller. Defendant has cited, and the Court is aware of, no authority
17 establishing that there is any First Amendment protection for the use of a celebrity’s name,
18 transformed or otherwise, to sell an unrelated product. Similarly, cases that do discuss the
19 unauthorized use of a name or image to sell an unrelated product do not discuss any
20 “transformative use” defense. *See, e.g., Abdul-Jabbar v. General Motors Corp.*, 85 F.3d 407 (9th
21 Cir. 1996) (basketball player’s name used to sell cars); *Christoff v. Nestle USA, Inc.*, 47 Cal. 4th
22 468 (2009) (unauthorized use of model’s likeness to sell coffee).

23 Indeed, a transformative use defense would be illogical in this context. Defendant relies on
24 two phrases from *Comedy III* as articulations of the transformative use test: “whether the depiction
25 or imitation of the celebrity is the very sum and substance of the work in question,” 25 Cal 4th at
26 406, and “[w]hen the value of the work comes principally from some source other than the fame of
27 the celebrity – from the creativity, skill, and reputation of the artist – it may be presumed that
28 sufficient transformative elements are present to warrant First Amendment protection.” *Id.* at 407.

1 But Defendant has taken these phrases out of context. Plaintiff's claim is that Mr. Fuller's name
2 and identity have been used to sell an unrelated product. Unlike a product that is itself a depiction
3 of a celebrity, such as a T-shirt bearing a picture of the celebrity, an unrelated product endorsed by
4 a celebrity would not be *expected* to derive its primary value from a celebrity's identity. Thus, the
5 fact that the product does not derive its primary value from the endorsement is not evidence of
6 transformation. It is the nature of an endorsement that the product endorsed has its own value, and
7 that the endorsement serves merely to boost consumer interest or trust. If this fact could render the
8 use of a celebrity's name "transformative" and thus protected by the First Amendment, it would
9 vitiate California's well-established right of publicity and the protections of the Lanham Act.
10 Accordingly, the Court finds that a "transformative use" argument cannot defeat Plaintiff's claim
11 that Mr. Fuller's right of publicity has been violated by Defendant's use of his identity to sell desk
12 toys.

13 Defendant further argues that it uses the term "buckyballs" "in connection with a *molecular*
14 *compound* that is – as a matter of historical fact – named after a celebrity." Mot. at 9. But as
15 explained above, whether the product is named for the man or the molecule is a question of fact not
16 suitable for resolution on a motion to dismiss. Thus, to the extent that Defendant's argument relies
17 on this predicate, it cannot succeed at this stage of the litigation.

18 Finally, Defendant attempts to raise concerns about the consequences of allowing recovery
19 for a Plaintiff whose name also has an independent meaning as a common noun. Mot. at 11. But
20 these concerns are unfounded. In this case, Plaintiff has alleged – with reference to Defendant's
21 website and the contents of one of Defendant's books – that Defendant's use of "Bucky" refers to
22 Mr. Fuller, not to the molecule. This case thus need not establish anything about situations where
23 there is no evidence that use of one of these dual-meaning terms refers to anything but the common
24 noun. Plaintiff has not sued any of the many entities who have published works containing the
25 word "buckyballs" where it clearly refers to a carbon-60 molecule, nor does Plaintiff argue that
26 such use should not be allowed. Thus, Defendant's predictions of dire results simply are not
27 credible.

1 In sum, the Court finds that the transformative use defense is inapplicable to the present
 2 situation, where Plaintiff's name is allegedly being used to sell an unrelated product. Accordingly,
 3 Defendant's motion to dismiss on transformative use grounds is DENIED.

4 **B. State law claims: Common Law and Statutory Rights of Privacy**

5 California has long recognized a right to protect one's name and likeness against
 6 appropriation by others for their advantage. *Downing v. Abercrombie & Fitch*, 265 F.3d 994, 1001
 7 (9th Cir. 2001) (citing *Eastwood v. Superior Court*, 149 Cal.App. 3d 409, 416, (1983)). California
 8 law provides two vehicles for asserting such a right: a common law cause of action for commercial
 9 misappropriation, and a statutory remedy for commercial misappropriation under California Civil
 10 Code §§ 3344 (living persons) and 3344.1 (deceased persons).²

11 The California Supreme Court has held that the common law cause of action for
 12 misappropriation does not apply to deceased persons. *See Guglielmi v. Spelling-Goldberg*
 13 *Productions*, 25 Cal.3d 860 (1979); *Lugosi v. Universal Pictures*, 25 Cal. 3d 813, 823 (1979); *see*
 14 *also Comedy III*, 25 Cal. 4th at 391-92. Defendant has not moved to dismiss the common law
 15 claim on this basis. "The fact that a dismissal was not requested, however, does not make it
 16 improper. 'A trial court may act on its own initiative to note the inadequacy of a complaint and
 17 dismiss it for failure to state a claim. . . .'" *Sparling v. Hoffman Const. Co., Inc.*, 864 F.2d 635,
 18 637-38 (9th Cir. 1988) (quoting *Wong v. Bell*, 642 F.2d 359, 361 (9th Cir. 1981)). As there is no
 19 dispute that Mr. Fuller passed away some years ago, *see* Compl. at ¶ 1; Mot. at 4, Plaintiff cannot
 20 possibly prevail on a common law claim that requires the person whose identity is being used to be
 21 living. Further, at the hearing on November 1, Plaintiff could provide no reason why the common
 22 law claim should not be dismissed. Accordingly, Plaintiff's second cause of action for "Invasion
 23 of Privacy – Appropriation of Name" is hereby DISMISSED.

24 However, the legislature enacted Cal. Civil Code § 3344.1 to provide a remedy for the
 25 misappropriation of the name or likeness of a deceased person not available under the common

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 27 ² The two statutes require proof of the same elements. *See Comedy III*, 25 Cal. 4th at 392 ("The
 28 statute was evidently modeled on section 3344: many of the key provisions of the two statutory
 schemes were identical."). Accordingly, the Court finds cases interpreting § 3344 to be relevant to
 the analysis under § 3344.1.

1 law. *See Comedy III*, 25 Cal. 4th at 391-93. Accordingly, the Court will consider Defendant's
2 arguments that Plaintiff's § 3344.1 claim should be dismissed.

3 **1. Statute of Limitations**

4 Defendant argues that the statutory misappropriation claim is subject to a two-year statute
5 of limitations under California Code of Civil Procedure § 339, and since Defendant's product
6 bearing the name "buckyballs" was first used in commerce on March 1, 2009, that the statute of
7 limitations had run before Plaintiff filed this action on May 18, 2012. Specifically, Defendant
8 argues that the "single publication rule" applies. Accordingly, Defendant argues, the statute of
9 limitations began to run when Plaintiff had actual or constructive notice that Defendant was using
10 Mr. Fuller's name, and the cause of action does not accrue anew each time Defendant prints a
11 package that says "Bucky" on it. The parties appear to agree that the two-year limitations period
12 applies here. Though the Court could find no cases discussing the limitations period applicable to
13 § 3344.1, the California Supreme Court has applied a two-year period to a right-of-publicity claim
14 under § 3344; thus, the Court agrees that the limitations period for Plaintiff's § 3344.1 claim is two
15 years. *See Christoff*, 47 Cal. 4th at 474; *see also Cusano v. Klein*, 264 F.3d 936, 950 (9th Cir.
16 2001) ("The statute of limitations for infringement of the right of publicity in California is two
17 years.").

18 The so-called single publication rule is codified in California Civil Code § 3425.3, which
19 provides that:

20 No person shall have more than one cause of action for damages for libel or slander
21 or invasion of privacy or any other tort founded upon any single publication or
22 exhibition or utterance, such as any one issue of a newspaper or book or magazine
23 or any one presentation to an audience or any one broadcast over radio or television
or any one exhibition of a motion picture. Recovery in any action shall include all
damages for any such tort suffered by the plaintiff in all jurisdictions.

24 Cal. Civ. Code § 3425.3. The single publication rule applies to claims for misappropriation of
25 name or likeness. *See Christoff*, 47 Cal. 4th at 476 ("We agree that, in general, the single-
26 publication rule as codified in section 3425.3 applies to causes of action for unauthorized
27 commercial use of likeness."); *see also Alberghetti v. Corbis Corp.*, 713 F.Supp.2d 971, 979 (C.D.
28 Cal. 2010) *aff'd in part, rev'd in part on other grounds*, 476 Fed.Appx. 154 (9th Cir. 2012). The

1 question, then, is whether Defendant's sale of its "Bucky" products constitutes a single publication
2 for purposes of this rule.

3 The California Supreme Court addressed a similar question in 2009 in *Christoff*. 47 Cal.
4th at 468. In *Christoff*, a model sued a food company for unauthorized use of his image on a
5 product label. The Court held that "whether Nestlé's unauthorized use of Christoff's image,
6 including its production of the label, constituted a 'single publication' within the meaning of the
7 single-publication rule" was a factual question that required examination of the evidence in the
8 case. 47 Cal.4th at 476. Although the majority did not explain what sorts of considerations would
9 be relevant, Justice Werdegar did provide some guidance in a concurring opinion:

10 The trial court should consider as well whether the production and distribution of
11 labels was predetermined by a single initial decision or whether defendant (that is,
12 the officers or managing agents of defendant corporation) made at any relevant time
13 a conscious, deliberate choice to continue, renew or expand the use of labels bearing
14 plaintiff's misappropriated image. If any such decisions occurred during the period
defined by the statute of limitations, plaintiff should be able to recover damages
caused by publication pursuant to those decisions.

15 *Christoff*, 47 Cal. 4th at 486 (Werdegar, J., concurring). Judge Wilson of the Central District of
16 California applied this test in a portion of a decision that was affirmed by the Ninth Circuit. *See*
17 *Alberghetti*, 713 F.Supp.2d at 979. Here too, the question of Defendant's decisionmaking process
18 cannot be resolved at this stage of the litigation, without the benefit of evidence. Plaintiff has
19 alleged that Defendant's actions have harmed him "[b]eginning at an exact date unknown to
20 Plaintiff but at least since 2009," Compl. at ¶ 53; that Plaintiff granted Defendant a license for the
21 use of Mr. Fuller's name and likeness for a period in 2011, *id.* at ¶ 12; and that Defendant has
22 introduced a range of products beyond the original Buckyballs that also bear Mr. Fuller's name.
23 *Id.* at ¶ 14. Some of these actions by Defendant may have occurred within the two-year limitations
24 period. Thus, these allegations are sufficient to state a viable claim that Defendant committed an
25 independent act of publication violating Plaintiff's right of publicity within the two-year limitations
26 period. Accordingly, Defendant's motion to dismiss on statute of limitations grounds is DENIED.

27 **2. Public Affairs/Newssworthiness**
28

1 Defendant argues that Plaintiff's statutory claim must be dismissed under the exception laid
2 out in subsection (j) of § 3344.1, which provides that "the use of a name, voice, signature,
3 photograph, or likeness in connection with any news, public affairs, or sports broadcast or account,
4 or any political campaign, shall not constitute a use for which consent is required under subdivision
5 (a)." The statute for living persons, § 3344, contains an identical exception. *See* Cal. Civ. Code §
6 3344(d). Defendant also separately argues that both the statutory and common law claims should
7 be dismissed under a common law "Public Interest" defense, under which "no cause of action will
8 lie for the publication of matters in the public interest, which rests on the right of the public to
9 know and the freedom of the press to tell it." *Montana v. San Jose Mercury News, Inc.*, 34 Cal.
10 App. 4th 790, 793 (1995). However, Courts have generally treated the two defenses as requiring
11 proof of the same elements, with the statutory defense applying to statutory publicity claims and
12 the common law defense to common law publicity claims. *See, e.g., Gionfriddo v. Major League
13 Baseball*, 94 Cal. App. 4th 400 (2001) (applying the statutory public affairs defense to a § 3344
14 claim and a coextensive common law public interest defense to a common law publicity claim);
15 *Dora v. Frontline Video, Inc.*, 15 Cal. App. 4th 536 (1993) (same). In other words, California
16 courts do not apply a common law public interest defense, separate and apart from the statutory
17 public affairs defense, to statutory right of publicity claims. Defendant acknowledges that the
18 statutory and common law defenses are, in essence, the same, as "the statutory public affairs
19 exemption [requires] the same type of activity as the public interest defense." Mot. at 15. Further,
20 Defendant presents no new arguments in favor of a common law defense, relying instead on the
21 arguments already made in support of a statutory defense. Thus, the Court need not consider the
22 two defenses separately. Since the common law claim has been dismissed, the Court will frame
23 discussion in terms of the statutory defense, which applies to the remaining § 3344.1 claim.

24 Defendant argues that its use of the term "Bucky" is protected under § 3344.1(j) because it
25 is a matter of public affairs. Plaintiff disputes that Defendant's use of the name "Bucky"
26 constitutes a "news, public affairs, or sports account or political campaign" as defined by the
27 statute. Opp'n at 11-12. Though the parties cite, and the Court has found, no cases interpreting §
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1 3344.1(j), there are myriad cases interpreting the identical provision in § 3344(d), and the
2 reasoning of those cases applies equally here.

3 As this Court explained in *Fraley v. Facebook*, the use to which Defendant puts the
4 information, in addition to the content of the information itself, bears on whether the statutory
5 exception can apply:

6 “[E]ven if the underlying actions taken by Plaintiffs are newsworthy, Plaintiffs
7 assert that Facebook’s *commercial use* of those actions. . . removes them from the
8 scope of § 3344(d)’s newsworthy privilege. The Court agrees. Because Facebook’s
9 publication of Plaintiffs’ ‘Likes’ is alleged to be for commercial advertising
10 purposes and not part of “any news, public affairs, or sports broadcast or account, or
any political campaign,” the Court does not find it appropriate to dismiss the claim
under the newsworthiness exception provided in § 3344(d).”

11 830 F.Supp.2d 785, 805 (N.D. Cal. 2011). The Ninth Circuit has explicitly held that the use of
12 information which may itself be said to be “newsworthy,” and thus potentially fall within the
13 exception, is “not automatically privileged,” and is not protected under the statutory public affairs
14 exception when used in an advertisement. *Abdul-Jabbar v. General Motos Corp.*, 85 F.3d 407, 416
15 (9th Cir. 1996). Thus, the defense simply does not apply when Defendant is using the information
16 to sell a product, rather than to inform or entertain.

17 Here, Plaintiff has alleged that Defendant’s use of Mr. Fuller’s name and identity are “for
18 purposes of selling and advertising” and “increasing sales of BuckyBalls” – not in any broadcast or
19 account, and not in a political campaign. Compl. at ¶¶ 47-48. The Court accepts these allegations
20 as true for the purpose of the motion to dismiss. Accordingly, the Court need not decide whether
21 Defendant’s references to Mr. Fuller are newsworthy. Even if they are, the public affairs exception
22 to § 3344.1 cannot bar Plaintiff’s claims at this stage, since Plaintiff has alleged that Defendant’s
23 use is commercial. Defendant’s motion to dismiss the § 3344.1 claim on these grounds is
24 DENIED.

25 3. Ownership of the term “Buckyballs”

26 Defendant’s final argument that the statutory right of publicity claim must be dismissed is
27 that Plaintiff has not registered any rights as a successor in interest specifically in the name
28 “Buckyballs.” Mot. at 16. Plaintiff has alleged that it has a valid registration in the name “Bucky

1 Fuller.” Compl. at ¶ 10. Thus, Defendant’s argument is tantamount to a claim that the registered
2 name, and only the registered name, without being altered in any way or combined with any other
3 terms, can be protected under § 3344.1. But this is not the law. As the Ninth Circuit has
4 explained, “[t]he statute’s reference to ‘name or likeness’ is not limited to present or current use”
5 and whether use of a variation on the Plaintiff’s name is sufficient to establish a violation “is a
6 question for the jury.” *Abdul-Jabbar*, 85 F.3d at 415-16. Accordingly, Defendant’s argument that
7 Plaintiff’s nonregistration of the specific term “buckyballs” precludes recovery under § 3344.1
8 fails, and Defendant’s motion on these grounds is DENIED.

9 **C. Lanham Act: Nominative Fair Use**

10 Plaintiff contends that Defendant’s unauthorized use of Mr. Fuller’s name violated the
11 Lanham Act because “[t]he public is likely to conclude that the use of Bucky’s name and the
12 references to him in Defendant’s print and website material signifies Plaintiff’s endorsement or
13 approval of BuckyBalls.” Compl. at ¶ 31. Defendant moves to dismiss on grounds that the
14 reference to Mr. Fuller constitutes nominative fair use, as defined by the Ninth Circuit, of Mr.
15 Fuller’s name. Mot. at 17. A commercial user is entitled to the nominative fair use defense if it
16 meets three requirements:

17 First, the product or service in question must be one not readily identifiable without use of
18 the trademark; second, only so much of the mark or marks may be used as is reasonably
19 necessary to identify the product or service; and third, the user must do nothing that would,
in conjunction with the mark, suggest sponsorship or endorsement by the trademark holder.
20 *New Kids on the Block v. News Am. Pub., Inc.*, 971 F.2d 302, 308 (9th Cir. 1992). For example, in
21 *New Kids on the Block*, a pop music group sued a newspaper publisher for printing a survey in
22 which it asked which of the five members of the band readers preferred. *Id.* The band alleged that
23 the newspaper’s using of its name, New Kids on the Block, constituted trademark infringement.
24 *Id.* The court disagreed, finding that the newspaper was within its rights to refer to the group by its
25 name, even if that name was a registered trademark, provided the three factors laid out above were
26 satisfied. *Id.*

27 In this case, the “product or service” is Mr. Fuller himself, and the “trademark” is his
28 name. *See Cairns, et al. v. Franklin Mint Co. et al.*, 292 F.3d 1139, 1152 (9th Cir. 2002) (Princess

1 Diana is a “product” and her name and likeness are “marks”). In other words, Defendant is arguing
2 that it cannot be held liable for using Mr. Fuller’s name to talk about Mr. Fuller, because there is no
3 other way to reference him.

4 The claim cannot be dismissed on the basis of this defense. The third factor – that the user
5 must do nothing that would suggest sponsorship or endorsement – is dispositive here. Plaintiff has
6 specifically alleged that Defendant’s use of Mr. Fuller’s name is “likely to cause confusion among
7 the general public about Plaintiff’s endorsement of Defendant’s product. In particular, consumers
8 are likely to believe that BuckyBalls are authorized, sponsored, approved or otherwise related to
9 Plaintiff and its licensees, when in fact they are not.” Compl. at ¶ 23. Because the Court takes
10 allegations in the Complaint as true for purposes of a motion to dismiss, the Court assumes that
11 Defendant’s conduct did in fact suggest sponsorship or endorsement by Mr. Fuller. Thus, the third
12 element of the defense cannot be established, and the complaint cannot be dismissed on these
13 grounds.

14 **D. Unfair Business Practices**

15 California’s Unfair Competition Law (“UCL”) prohibits “any unlawful, unfair or fraudulent
16 business act or practice and unfair, deceptive, untrue or misleading advertising.” Cal. Bus. & Prof.
17 Code § 17200. Accordingly, “[a]n act can be alleged to violate any or all of the three prongs of the
18 UCL – unlawful, unfair, or fraudulent.” *Berryman v. Merit Prop. Mgmt., Inc.*, 152 Cal. App. 4th
19 1544, 1554 (2007). Plaintiff has alleged violations under all three prongs. *See* Compl. at ¶ 54.

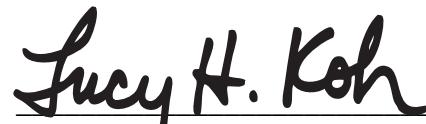
20 Defendant has only one argument for dismissing Plaintiff’s claim under the UCL: that
21 Plaintiff has not stated a claim for violation of any other law, and thus has not alleged conduct that
22 is “unlawful” for purposes of the UCL. *See* Mot. at 20. This argument fails. As explained above,
23 Plaintiff has alleged facts sufficient to withstand a motion to dismiss on the § 3344.1 and Lanham
24 Act claims, and has thus stated a claim under the “unlawful” prong. Plaintiff has also alleged that
25 Defendant’s use of Mr. Fuller’s name was misleading to the public and therefore fraudulent, and
26 that Defendant’s practices were unfair in that their harm to Plaintiff outweighed any utility. *Id.*
27 Thus, Plaintiff has alleged facts that, if proven, could establish liability under all three prongs of
28 the UCL. Accordingly, Defendant’s motion to dismiss Plaintiff’s UCL claim is DENIED.

1 **V. Conclusion**

2 The Court has found that Plaintiff's common law claim for appropriation of name and
3 likeness cannot succeed as a mater of law, and must be dismissed. Defendant has not established
4 that any of Plaintiff's other claims fail to state a viable claim for relief. Accordingly, Defendant's
5 motion to dismiss is DENIED.

6 **IT IS SO ORDERED.**

7 Dated: November 5, 2012



LUCY H. KOH
United States District Judge